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| University of Cambridge Faculty of Architecture and History of Art Ethical Approval Form\*  Interview-based or Ethnographic Research with Adults |

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| Type of Project *(delete as necessary)* | | | | STAFF | POSTGRADUATE | | UNDERGRADUATE |
| Funding body | | | |  | | | |
| Title of Project: | |  | | | | | |
| Name(s) of Researcher(s): | | |  | | | email: | |
| Supervisor(s)  *(for student research)*: | | |  | | | email: | |
| Date: |  | | | | | | |

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|  | *Mark with X in box* | Yes | No | n/a |
| 1 | Will the research involve gathering **personal information** or **confidential information** on identifiable living individuals? |  |  |  |
| 2 | Will the research require the cooperation of a **“gatekeeper”** (e.g. a community leader) for initial access to the groups or individuals who are to be studied? |  |  |  |
| 3 | Will it be necessary for participants to take part in the research without their knowledge and consent at the time (e.g. research involving **deception** or **covert observation**)? |  |  |  |
| 4 | Will the research involve discussion of **sensitive topics** (e.g. sexual activity, drug use)? |  |  |  |
| 5 | Could the research induce **psychological stress** or **anxiety** or **cause harm** or negative consequences beyond the risks encountered in normal life? Harm may include harm to the researcher, harm to staff or students involved in the research, or harm to research participants. |  |  |  |

If you have marked **Yes** to any of Q1-5, but have **marked Box A** overleaf, please give an explanation on a separate sheet. (Note: N/A = not applicable).

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|  | *Mark with X in box* | Yes | No | n/a |
| 6 | Will your questions involve deliberately misleading respondents in any way? |  |  |  |
| 7 | Is there any realistic risk of any participants experiencing psychological distress or discomfort? If **Yes**, give details on a separate sheet and state what you will tell them to do if they should experience any problems (e.g. who they can contact for help). |  |  |  |

If you have marked **Yes** to Q6 or 7 you should normally **mark Box B** overleaf; if not, please give a full explanation on a separate sheet.

**There is an obligation on the lead researcher to bring to the attention of the Architecture and History of Art Faculty’s Research Ethics Committee any issues with ethical implications not clearly covered by the above checklist.**

\*Shouldyou wish to undertake research at other institutions in addition to the University of Cambridge, please apply to the relevant institutional Research Ethics Committee(s) and keep the Architecture and History of Art Faculty’s Research Ethics Committee informed

PLEASE MARK **EITHER** BOX A OR BOX B BELOW AND **PROVIDE** **THE DETAILS REQUIRED** IN SUPPORT OF YOUR APPLICATION. THEN PRINT OFF AND SIGN THE FORM.

*Mark with X*

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| **A.** I consider that this project has **no** significant ethical implications to be brought before the Architecture and History of Art Faculty’s Research Ethics Committee. |  |
| **Give a brief description of the purpose of the research, and the participants in your interview(s) or ethnographic study** *(max. 150 words).* |  |

**OR**

*Mark with X*

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| **B.** I consider that this project **may** have ethical implications that should be brought before the Architecture and History of Art Faculty’s Research Ethics Committee, and/or it will be carried out with children or other vulnerable populations. |  |
| **Please provide all the further information listed below in a separate attachment.**   1. Title of project 2. Purpose of project and its academic rationale 3. How the will project gain the informed consent of research participants 4. The steps that will be taken to ensure that personal data on research participants is kept secure 5. The steps that will be taken to anonymise data or mask the identity of participants, when results/data are published. Alternatively, if participants are to be identified in the published data/results, the means by which you will gain their informed consent to this 6. A clear and concise statement of the ethical considerations raised by the project and how you intend to deal with them |  |

I have read and am familiar with the [webpage Research in the Faculty of Architecture and Art History: Management of ethical issues](https://www.aha.cam.ac.uk/policy/ethics) and the [Association of Social Anthropologists ethical guidelines](https://www.theasa.org/ethics/) and (if appropriate) have discussed it with the other researchers involved in the project.

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| **Signed: …………………………………….....**  *(UG or PG researcher, if applicable)* | **Print Name: …………………………………..** | **Date: ……………….** |
| **Signed: …………………………………….….**  *(Lead Researcher or Supervisor, if applicable)* | **Print Name: …………………………………..** | **Date: ……………….** |

This form and any attachments, along with your Consent Form and Participation Information Sheet, should be submitted to the Chair of the Faculty of Architecture and History of Art’s Research Ethics Committee [ethicschair@aha.cam.ac.uk](mailto:ethicschair@aha.cam.ac.uk). If any required information is missing, your application will be returned to you. Please ensure that your name and title of the project is on each separate attachment.

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| **STATEMENT OF ETHICAL APPROVAL**  This project has been considered using agreed Faculty of Architecture and History of Art institutional procedures and is now approved. | | |
| **Signed: ………………………………………..**  *(on behalf of the Research Ethics Committee)* | **Print Name: ………………………………......** | **Date: ….…………...** |